

§ 203.8

§ 203.8 Service of subpoenas.

Service of subpoenas issued in formal investigative proceedings shall be effected in the manner prescribed by Rule 232(c) of the Commission's Rules of Practice, §201.232(c) of this chapter.

[29 FR 3620, Mar. 21, 1964, as amended at 60 FR 32823, June 23, 1995]

PART 204—RULES RELATING TO DEBT COLLECTION

Subpart A—Administrative Offset

Sec.

- 204.1 Applicability and scope.
- 204.2 Definitions.
- 204.3 General.
- 204.4 Demand for payment—notice.
- 204.5 Debtor's failure to respond.
- 204.6 Agency review.
- 204.7 Hearing.
- 204.8 Written agreement for repayment.
- 204.9 Administrative offset procedures.
- 204.10 [Reserved]
- 204.11 Jeopardy procedure.
- 204.12–204.29 [Reserved]

Subpart B—Salary Offset

- 204.30 Purpose and scope.
- 204.31 Excluded debts or claims.
- 204.32 Definitions.
- 204.33 Pre-offset notice.
- 204.34 Employee response.
- 204.35 Petition for pre-offset hearing.
- 204.36 Granting of a pre-offset hearing.
- 204.37 Extensions of time.
- 204.38 Pre-offset hearing.
- 204.39 Written decision.
- 204.40 Deductions.
- 204.41 Non-waiver of rights.
- 204.42 Refunds.
- 204.43 Coordinating offset with another federal agency.
- 204.44 Interest, penalties, and administrative costs.

Subpart C—Tax Refund Offset

- 204.50 Purpose.
- 204.51 [Reserved]
- 204.52 Notification of intent to collect.
- 204.53 [Reserved]
- 204.54 Commission action as a result of consideration of evidence submitted in response to the notice of intent.
- 204.55 Change in notification to Financial Management Service.
- 204.56 Administrative charges.
- 204.57–204.59 [Reserved]

17 CFR Ch. II (4–1–16 Edition)

Subpart D—Administrative Wage Garnishment

- 204.60 Purpose.
- 204.61 Scope.
- 204.62 Definitions.
- 204.63 Notice.
- 204.64 Hearing.
- 204.65 Wage Garnishment Order.

Subpart E—Miscellaneous: Credit Bureau Reporting, Collection Services

- 204.75 Collection services.
- 204.76 Use of credit bureau or consumer reporting agencies.
- 204.77 Referrals to collection agencies.

Subpart A—Administrative Offset

AUTHORITY: 31 U.S.C. 3716, 31 CFR 901.3.

SOURCE: 58 FR 64370, Dec. 7, 1993, unless otherwise noted.

§ 204.1 Applicability and scope.

(a) The procedures authorized for administrative offset are contained in Section 10 of the Debt Collection Act (codified at 31 U.S.C. 3716). The Act requires that notice procedures be observed by the agency. The debtor is also afforded an opportunity to inspect and copy government records pertaining to the claim, enter into an agreement for repayment, and to a review of the claim (if requested). Like salary offset, agencies may cooperate with one another in order to effectuate recovery of the claim.

(b) The provisions of this subpart apply to the collection of debts owed to the United States arising from transactions with the Securities and Exchange Commission (Commission). These regulations are consistent with the Debt Collection Act and the Federal Claims Collection Standards on administrative offset issued jointly by the Department of Justice and the Department of the Treasury (31 CFR 901.3).

[58 FR 64370, Dec. 7, 1993, as amended at 66 FR 54130, Oct. 26, 2001]

§ 204.2 Definitions.

(a) *Administrative offset* as defined in 31 U.S.C. 3701(a)(1) means withholding funds payable by the United States (including funds payable by the United